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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

THORSTEN KAMMLER

KATJA HUY

CHRISTOPH SCHWAN

Group Art Unit: 2812

Examiner: Unknown

Serial No.: 10/786,401

Attorney Docket: 2000.110500/DE0329

Filed: February 25, 2004

Customer No.: 23720

For: TECHNIQUE FOR FORMING  
RECESSED SIDEWALL SPACERS  
FOR A POLYSILICON LINE

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service with sufficient postage as "FIRST CLASS MAIL" addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, this 8<sup>th</sup> day of June, 2004.

*Mary Paul*  
Signature

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Because the filing date of the present application is after June 30, 2003, copies of the listed U.S. patents are not included.

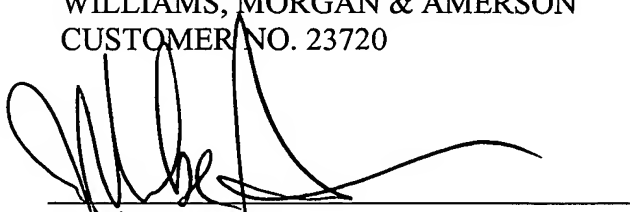
In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R § 1.97(e)(1), Applicants hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present statement, as evidenced by the date of the enclosed letter from the German associate.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON  
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A handwritten signature in black ink, appearing to read 'J. Mike Amerson', is written over a horizontal line.

Date: June 8, 2004

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Form PTO-1449 (modified)

Atty. Docket No.  
2000.110500/DE0329Serial No.  
10/786,401

List of Patents and Publications for Applicant's

Applicant  
Thorsten Kammler, Katja Huy and Christoph Schwan

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:  
February 25, 2004Group:  
2812U.S. Patent Documents  
*See Page 1*Foreign Patent Documents  
*See Page 1*Other Art  
*See Page 1*

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	6,017,823	1/25/00	Shishiguchi <i>et al.</i>	438	696	
	A2	6,498,067 B1	12/24/02	Perng <i>et al.</i>	438	305	
	A3	2003/0011080 A1	1/16/03	Deshpande <i>et al.</i>	257	900	
	A4						
	A5						

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						
	B3						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	
	C2	
	C3	

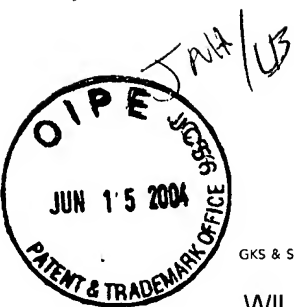
EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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IHR ZEICHEN / YOUR REF.

AMD Ref. No. DE0329

UNSER ZEICHEN / OUR REF.

P35045AP088we

Re: German Patent Application No. 103 35 100.0-33  
Applicant: ADVANCED MICRO DEVICES, INC.

DOCKETED ☒ UPDATED ☐ DATUM / DATE  
 Previously Not Required  
 Appl Info IDS 26.05.2004  
 Reg/Comm Info us case  
 Action Required  
 Date Due Done: 6-26-2004  
 By: Checked

Dear Mike:

Regarding the above-referenced German patent application, please be informed that we have received a first Official Communication dated May 19, 2004, from the German Patent and Trademark Office, to which a response is due by

September 19, 2004.

Please find enclosed a copy of the Official Communication citing three prior art document(s) and a copy of the cited document(s), which we are sending to you before translating the Communication into English since we have heard that the time limits to cite art under the US information disclosure requirements have been substantially reduced. We will contact you again once we have studied the Official Communication and reported thereon to Paul Drake.

Very truly yours,

(Anton Pfau)

Encls: Copy of Official Communication  
Copy of cited prior art

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